Effective 9/12/06

BEFORE THE MINNESOTA DEPARTMENT OF HEALTH HEALTH OCCUPATIONS PROGRAM

In the Matter of David Nucleo Alexander, Unlicensed Complementary and Alternative Health Care Practitioner

STIPULATION AND CONSENT ORDER

IT IS HEREBY STIPULATED AND AGREED by David N. Alexander [hereinafter "Practitioner"] and the Health Occupations Programs [hereinafter "HOP"] within the Minnesota Department of Health [hereinafter "Department"], that, without trial or adjudication of any issue of fact or law herein, and without any evidence or admission by any party with respect to any issue or fact herein:

- 1. Except as otherwise specified herein, this Stipulation and Consent Order [hereinafter, "Stipulation"], investigative reports, and related documents shall constitute the entire record upon which this Order is based and shall be filed with the Department. The Stipulation document is public data pursuant to the Minnesota Government Data Practices Act, Minnesota Statutes, Chapter 13 ("MGDPA") and Minnesota Statutes, § 146A.06, subd. 2. All other data comprising the record shall not be considered a part of this Stipulation and shall maintain the data classifications to which they are entitled under the MGDPA and Minnesota Statutes, § 146A.06, subd. 2.
- Practitioner has been and is subject to the jurisdiction of the Department because
 Practitioner engaged in unlicensed complementary and alternative health care practice as

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- defined in Minnesota Statutes, § 146A.01 after July 1, 2001 when the Department's jurisdiction and legal authority became effective.
- 3. Practitioner is not now, nor has he ever been licensed or registered by the Minnesota health-related licensing boards or the commissioner of health in Minnesota.
- 4. Pursuant to Minnesota Statutes, § 146A.01, subd. 4, complementary and alternative health care practices include the broad domain of complementary and alternative healing methods and treatments, including but not limited to: (17) body work, massage and massage therapy.
- 5. Minnesota Statutes, § 146A.08 subd. 1 (d) prohibits engaging in sexual contact with a complementary and alternative health care client or former client, and engaging in contact that may be reasonably interpreted by a client as sexual. A "former client" means a person who has obtained services from the practitioner within two years of the misconduct.
- 6. Minnesota Statutes, § 146A.08 subd. 1 (q) prohibits undertaking or continuing a professional relationship with a client in which the objectivity of the professional would be impaired.
- 7. Minnesota Statutes, § 146A.08, subd. 1 (r) prohibits failing to provide clients with a Client Bill of Rights or violating any provision of the client bill of rights.
- 8. When violations of law are found, the Department has authority to revoke, suspend or condition a practitioner's right to practice (Minnesota Statutes, § § 146A.09, subd. 1(1) and (2)), to impose a civil penalty not exceeding \$10,000 for each separate violation, the amount of the civil penalty to be fixed as to deprive the practitioner of any economic advantage gained by reason of the violation or to reimburse the office for all costs of the

investigation and proceeding (Minnesota Statutes, § 146A.09, subd.1(4)), or to impose a fee on the practitioner to reimburse the office for all or part of the cost of the proceedings resulting in disciplinary action including, but not limited to, the amount paid by the office for services from the office of administrative hearings, attorney fees, court reports, witnesses, reproduction of records, staff time, and expense incurred by the Department. (Minnesota Statutes, § 146A.09, subd. 1(6)).

- 9. The Department alleges, and the Practitioner unconditionally admits, for purposes of these and any future disciplinary proceedings before the Department, the following facts:
 - A. Practitioner owns and operates his own massage therapy and bodywork therapy business in Minnesota. Practitioner has practiced massage therapy in Minnesota since 1986 when he graduated from the Minneapolis School of Massage after completing forty hours of training. Practitioner completed his massage therapy training under his former legal and adoptive name of Bruce David Hansen.

 Practitioner changed his name legally in February of 1990. Practitioner also was trained in shiatsu, and Ortho-Bionomy, but states that he lost his certificates in 1999 when he moved.
 - B. Practitioner attended an ethics and boundaries class in December 1997 as part of an Ortho-Bionomy course. Practitioner is a solo practitioner and is not supervised, nor does Practitioner consult regularly with another practitioner. Practitioner is not a member of any private certifying massage therapy organization.
 - C. Practitioner described his practice as being kind and gentle touch. Clients come to Practitioner to lessen physical pain and to enhance their sense of well-being. Aside

- from Client One, Practitioner denies crossing boundaries with any clients.
- D. Until August 2003, Practitioner never used a Client Bill of Rights as required by Minnesota State law. Practitioner does not keep client records and states that he works intuitively instead.
- E. Practitioner first met Client One on February 9, 2002 when she came to him for a massage. Practitioner saw Client One eleven times over three months. On March 8, 2002, Client One told Practitioner that she felt strong vibes for him and Practitioner told her that was normal because massage therapy touches many layers of feelings. On April 14, 2002, Practitioner kissed Client One on the lips during a massage. In Practitioner's written response to the Department received on November 25, 2002, Practitioner denied that he initiated the kiss. In the Department telephonic interview with Practitioner on July 14, 2003, Practitioner admitted that he felt a connection with Client One and Client One was on her stomach with her head to one side, when he moved his head down to kiss her. Practitioner stated that mutual kissing and embracing occurred about five times on that same day.
- F. Practitioner socialized with Client One after their therapist-client relationship ended by going out for coffee, on walks and Practitioner helped Client One plant a garden in her back yard. Upon the request of Client One, Practitioner attended an appointment with Client One and Client One's psychologist on July 10, 2002. As noted in the psychologist notes, Practitioner stated that what arose between he and Client One was natural and they stopped the therapist-client relationship to pursue an emotional and romantic relationship. In the same session, Practitioner apologized to Client One for

kissing her and said that he did not mean to abuse her.

- 10. Practitioner waives any claim that he may have against the Department, the State of Minnesota, or any employee thereof, under the Equal Access to Justice Law, Minnesota Statutes, § § 15.471-15.475.
- 11. For purposes of this Stipulation, Practitioner expressly waives all procedures and proceedings before the Department to which Practitioner may be entitled under the Minnesota and/or United States Constitution, statutes, rules, and also waives the right to any judicial review or appeal under the Administrative Procedures Act, by writ of certiorari under Minnesota Statutes, § 480A.06, or otherwise from the Order issued by the Division Director pursuant to this Stipulation.
- 12. Except as otherwise specified herein, this Stipulation, investigative reports, and related documents shall constitute the entire record of the proceedings herein upon which this Stipulation is based and shall be filed with the Department. Any report or other material related to this action and received after the date this Stipulation is executed shall become part of the record and may be considered by the Department in future aspects of this proceeding. These items shall maintain data classification to which they are entitled under the Minnesota Government Data Practices Act, Minnesota Statutes, Chapter 13 and Minnesota Statutes, § 146A.06, subd. 2. They shall not be considered a part of this Stipulation and shall not, to the extent they are not already public documents, become public merely because they are referenced herein.
- 13. In the event the Division Director, in her discretion, does not approve this settlement, or a lesser remedy than specified herein, this Stipulation shall be null and void and shall not

be used for any purpose by either party hereto; provided, however, that should this occur and thereafter an administrative contested case is initiated pursuant to Minnesota Statutes, Chapter 14, Practitioner agrees he will not raise any objections on any administrative level or in any court action to the Department's proceeding and hearing the case on the basis that the Division Director has become disqualified due to the Division Director's review and consideration of this Stipulation and record.

- 14. This Stipulation shall not in any way or manner limit or affect the authority of the

 Department to proceed against Practitioner by initiating a contested-case hearing or by

 other appropriate means on the basis of any act, conduct, or omission of Practitioner

 justifying action which occurred after the date of this Stipulation and which is not directly
 related to specific facts and circumstances set forth herein.
- 15. Any appropriate federal or state court shall, upon application of the Director, enter an order of enforcement of any or all of the terms of this Stipulation.
- 16. Practitioner hereby acknowledges that he has read, understood, and agreed to this Stipulation, and has freely and voluntarily signed it. In signing this Stipulation, Practitioner acknowledges that he is fully aware that the Division Director must approve it. The Division Director may either approve the Stipulation as proposed, approve it subject to specific changes, or reject it. If the Division Director approves the Stipulation or makes a change acceptable to the Practitioner, the Division Director will issue the Order and it will take effect. If the changes are unacceptable to Practitioner, or the Division Director rejects the Stipulation, it will be of no effect, except as specified in paragraph 13, above.

- 17. Practitioner's violation of this Stipulation will be considered a violation of Minnesota Statutes, § 146A.09, subdivision 1(s); and will constitute grounds for further disciplinary action;
- 18. Upon this Stipulation, and all of the files, records, and proceedings herein, and without any further notice or hearing herein, Practitioner does hereby consent that until further order of the Division Director, made after notice and hearing or after agreement between the Department and Practitioner, the Division Director may make and enter an Order for the following:
 - A. Practitioner shall take at least eight hours of therapist-client boundaries course(s) from a pre-approved school or sponsor within three months of the effective date of the stipulation. Practitioner shall submit the title, sponsor, or school name, hours of the course(s), syllabus, and a contact name, address and telephone number prior to attending the course(s) to the Department at Health Occupations Program, P.O. Box 64882, St. Paul, MN, 55164-0882, or by faxing it to 651-201-3839. Upon completing the course(s), Practitioner shall notify the Department within 14 days and submit a written letter describing the topics in the course and explaining how the topics relate to Practitioner's practice. Practitioner is responsible for the cost of the course(s).
 - B. Practitioner will pay a civil penalty of \$457.00 representing the costs of investigations in these proceedings, to date. The payments must be made payable to "State of Minnesota, Treasurer" and mailed to the Health Occupations Program, Minnesota Department of Health, P.O. Box 64882, St. Paul, MN,

55164-0882 or other address as designated by Department staff. Practitioner may pay the civil penalty in six monthly installments. Each payment is due by the last day of the month, including the first installment. If Practitioner chooses to pay in installments, Practitioner will notify the Department in writing of his intensions. If Practitioner fails to submit the payment(s), the Department will refer the matter for collection to the Minnesota Collection Enterprise (MCE) in the Minnesota Department of Revenue, or another source for collection. MCE is authorized by Minnesota Statutes, § 16D17 to obtain a judgment against Practitioner without further notice or proceedings.

- C. Practitioner shall cooperate fully during the process of the Department's enforcement and monitoring of compliance with this Stipulation and shall respond to the Department's correspondence within the time provided by law or by the deadline of Department staff.
- D. If Practitioner violates Minnesota Statutes, § 146A.08, subd. 1(d) again and it is so determined by the Department, Practitioner's right to practice complementary and alternative health care practices in Minnesota will be revoked.
- 19. This Stipulation contains the entire agreement between the parties, there being no other agreement of any kind, verbal or otherwise, which varies the terms of this Stipulation; and
- 20. Practitioner agrees that service of this Stipulation by regular mail to his address of shall be due and sufficient service on Practitioner.

Date: , 2006

David N. Alexander, Practitioner

SUSAN WINKELMANN, Manager Investigations and Enforcement Health Occupations Program

2006

P.O. Box 64882

Saint Paul, MN 55164-0882

Upon consideration of this stipulation and all the files, records, and proceedings herein, IT IS HEREBY ORDERED that the terms of this stipulation are adopted and implemented by the Division Director this 5th day of 5th day of 2006.

MINNESOTA DEPARTMENT OF HEALTH

Darcy Miner, Director

Division of Compliance Monitoring